









COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/930,349

08/15/2001

Hiroshi Maruyama

JP920000204US1

CONFIRMATION NO. 3452

IBM CORPORATION
INTELLECTUAL PROPERTY LAW DEPT.
P.O. BOX 218
YORKTOWN HEIGHTS, NY 10598

JAN D 8 2002 PS

FORMALITIES LETTER

**C000000006786641*

Date Mailed: 09/25/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/11/2002 BSAYASI1 00000055 090468 09930349

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application

Maruyama et al.

Serial No.: 09/930,349

Filed: August 15, 2001

Division

Box Missing Parts

-

Date: November 1, 2001

Confirmation No.: 3452

For: DIGITAL SIGNATURE SYSTEM, DIGITAL SIGNATURE METHOD, DIGITAL SIGNATURE MEDIATION METHOD, DIGITAL SIGNATURE MEDIATION SYSTEM, INFORMATION TERMINAL AND STORAGE MEDIUM

To: Commissioner for Patents
Initial Patent Examination Division
Box Missing Parts
Washington, D.C. 20231

CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that the attached correspondence comprising:

- Acknowledgment Post Card;
- Filing of Missing Parts of Application Under 37 CFR 1.63 (in duplicate);
- Declaration and Power of Attorney Form;
- 4. Notice to File Missing Parts of Application (return copy); and
- 5. Assignment to IBM with attached Recordation Form

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner for Patents Initial Patent Examination Division Box Missing Parts Washington, DC 20231

on <u>November 1, 2001</u>

Margaret McCabe

(Print name of person certifying)

(Signature of person certifying)

Docket JP920000204US1



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of : Initial Patent Examination

: Division

Maruyama et al. : Box Missing Parts

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Serial No.: 09/930,349 : Date: November 1, 2001

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Filed: August 15, 2001 : Confirmation No.: 3452

For: DIGITAL SIGNATURE SYSTEM, DIGITAL SIGNATURE METHOD, DIGITAL

SIGNATURE MEDIATION METHOD, DIGITAL SIGNATURE MEDIATION

SYSTEM, INFORMATION TERMINAL AND STORAGE MEDIUM

RESPONSE TO FILING OF MISSING PARTS OF APPLICATION UNDER 37 CFR 1.63

FILING DECLARATION AND POWER OF ATTORNEY FILING ASSIGNMENT

To: Commissioner for Patents
Initial Patent Examination Division
Box Missing Parts
Washington, D.C. 20231

Sir:

On August 15, 2001, the above-identified application was filed with the U.S. Patent and Trademark Office, under Rule 1.53(f) Missing Parts.

Enclosed at this time is an original declaration, which includes the signatures of the three inventors. This copy has been altered only to indicate the serial number and filing date of the subject application.

Also attached for filing is the original fully executed Assignment for the above-identified application with the required Recordation Form Cover Sheet.

The fee of \$170.00 representing both the Surcharge of \$130.00 and Assignment fee of \$40.00 is to be charged to Deposit Account No.: 09-0468. A duplicate copy of this paper is attached.

A copy of the "Notice to File Missing Parts of Application-Filing Date Granted", date mailed September 25, 2001, is also attached as per your instructions.

Respectfully submitted,

Louis P. Herzber

Reg. No. 41,500 Tel. (914) 945-2885

IBM Thomas J. Watson Research Center Intellectual Property Law Department P.O. Box 218 Yorktown Heights, N.Y. 10598

Attorney Docket: JP920000204US1